SIMON
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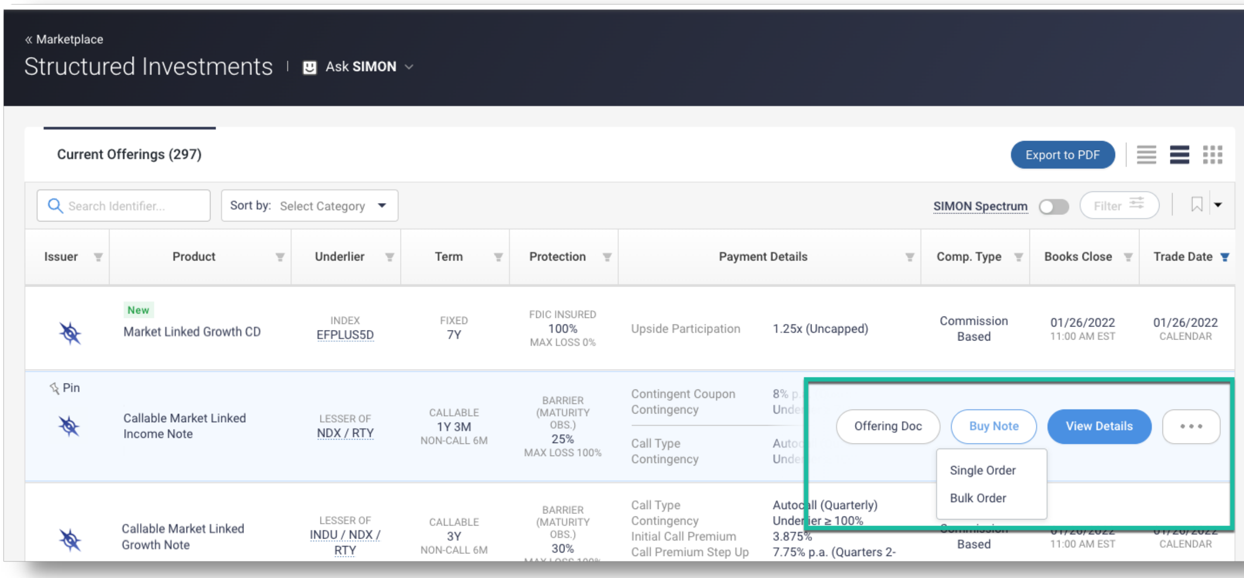
NOW AVAILABLE ON SIMON

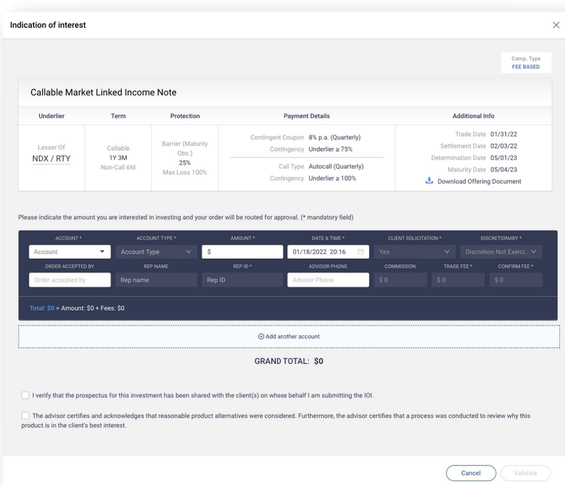
A STREAMLINED ORDER ENTRY PROCESS

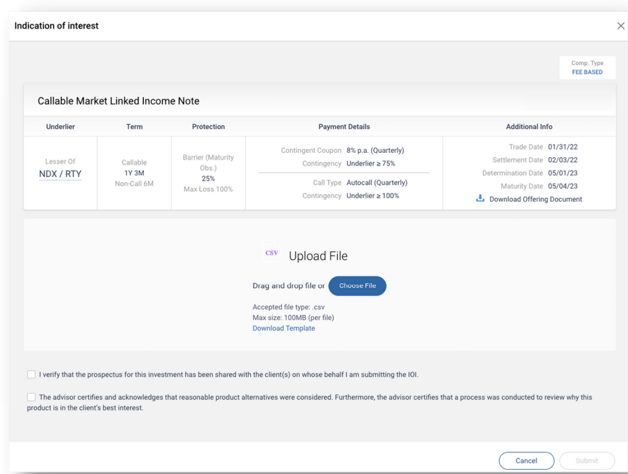
As of early April, you can expect to enter orders for all your structured notes business across all issuers. This new feature will enable you to enter new orders, track the status of pending orders, and manage your existing book of business all in one centralized location.



BUYING A NOTE

From the Marketplace, choose Current Offerings to see a list of all structured notes available on the SIMON platform. Hover over Buy Note to choose between placing a single or bulk order.



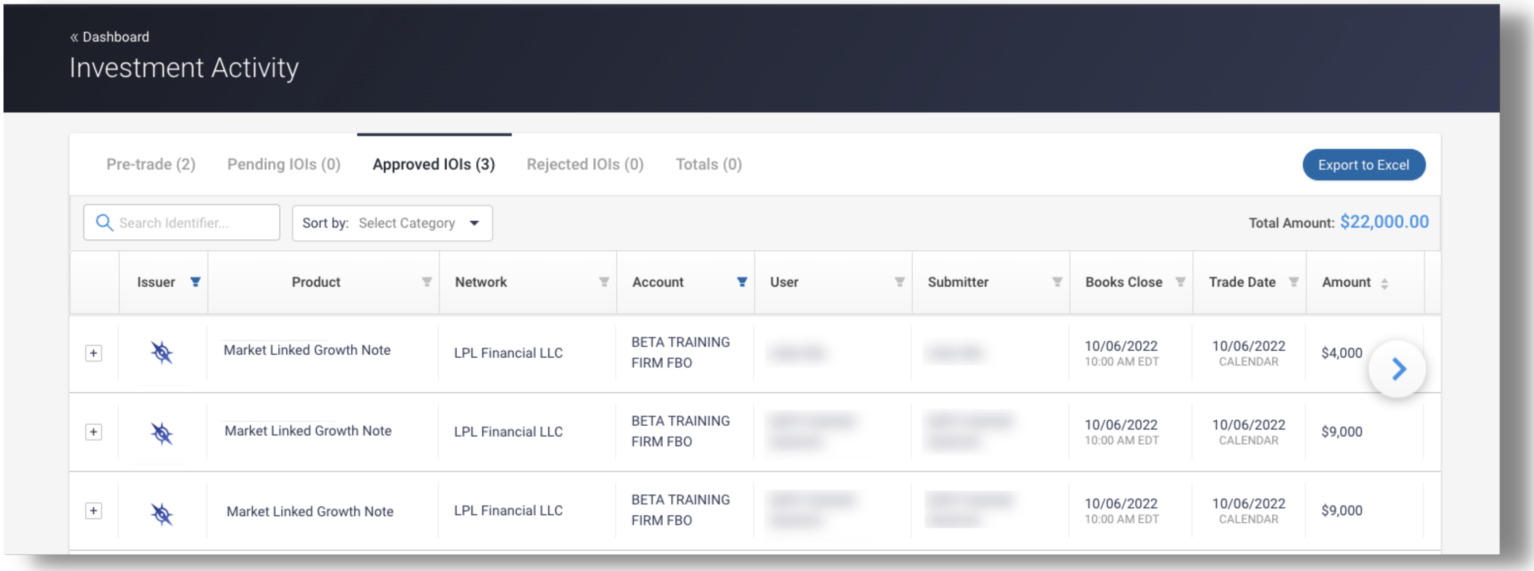


PLACING A SINGLE ORDER

Choose Single Order if placing an order for one client. After selecting the account that you want to buy a note in, make sure to complete all items that don't pre-fill and check the boxes at the bottom of the page to validate order.

PLACING A BULK ORDER

Choose Bulk Order to buy the same note for multiple client accounts, then download and fill in the available template. Once this is complete, upload the file, making sure you check the boxes at the bottom of the page to submit your order.



MANAGING YOUR ORDERS

After placing your order(s), go to IOIs from the Marketplace and choose between available tabs to see the status of your orders and any pending orders or trades that have open action items.

LIFECYCLE PORTAL

After your structured notes orders have been placed, you can navigate to the Lifecycle Portal to view an overview of your holdings. You'll also see highlights from your book of business and upcoming lifecycle events in the widget at the top of the page, which can help you manage and grow your structured notes business.

QUESTIONS?

lpl-support@simonmarkets.com

For immediate assistance or help navigating the platform, please contact us.